

Stuart G. Stein

Partner

Washington, D.C.

Biography

Stuart Stein has advised selected clients on corporate matters for more than 30 years. Formerly qualified as a Certified Public Accountant and the previous global co-head of the Hogan Lovells Corporate practice group, Stuart brings a rare perspective to boards and companies.

As a trusted advisor to the boards of some of the largest companies in the world, he provides a calm, measured approach to achieve success during often-turbulent times. Stuart is particularly skilled in advising boards and management in developing strategies for addressing hostile and other attempts to derail corporate business plans.

Stuart is a regular speaker on national panels and programs that address corporate issues, particularly as they relate to board governance, compliance, audit committees, and fiduciary duty issues. Stuart previously served as Chief Legal Officer of Hogan Lovells, where he was responsible for global oversight of our Office of General Counsel.

Awards and rankings

- Financial Services Regulation: Banking (Compliance) (Nationwide), *Chambers USA*, 2007-2017
- Finance: Financial Services Regulation, *Legal 500 US*,



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Practices

Capital Markets

Commercial

Corporate

Corporate Governance

Financial Services

Investigations, White Collar, and Fraud

Mergers and Acquisitions

Securities and Public Company Advisory

Industries

Consumer

2011-2017

- Finance: Capital Markets: Debt Offerings, *Legal 500 US*, 2012-2016
- Financial Services Regulation: Financial Institutions M&A (Nationwide), *Chambers USA*, 2007, 2011-2016
- Financial Services Regulation: Banking (Enforcement & Investigations) (Nationwide), *Chambers USA*, 2008-2016
- Mergers, Acquisitions, and Buyouts: M&A: Large Deals (\$1bn-5bn), *Legal 500 US*, 2007, 2012-2014
- BTI Client Service All-Star, 2008-2009

Latest thinking and events

- Published Works
 - Financial Institutions Answer Book: Law, Governance, Compliance (2019 Edition) *Practising Law Institute*
- Hogan Lovells Publications
 - New Opinion Endorses FIRREA Case Against Bank of America and Countrywide *False Claims Act Alert*
- Hogan Lovells Publications
 - Federal banking agencies release Basel III capital final rules *Financial Institutions Group Alert*
- Hogan Lovells Publications
 - M&A Practice Earns First Tier Ranking by Legal 500 *M&A Update*
- Hogan Lovells Publications
 - CFTC issues swap reporting relief and finalizes the inter-affiliate exception *SEC Update*
- Hogan Lovells Publications
 - Federal Reserve proposes enhanced prudential standards and early remediation requirements for foreign banking organizations *Financial Institutions Group Alert*

Financial Institutions

Insurance

TMT

Areas of focus

Raising Debt Capital

High Yield

Convertible Debt Offerings

Raising Equity Capital

Initial Public Offerings

Section 16 Advice

Stock Exchange Listings and Regulatory Compliance

Securities and Financial Regulatory Advice

Proxy Solicitations, Shareholder Meetings, and Shareholder Proposals

Disclosure and Reporting Obligations

Capital Markets and Tax

Raising Equity Capital

Public Company Mergers and Acquisitions

Carve-outs, Spin-offs, and Split-offs

Hostile Takeovers and Takeover Defense

Special Committee and Independent Director Representations

Shareholder Activism

Education and

admissions

Education

J.D., University of Virginia School of Law, 1985

B.B.A. Accounting, The George Washington University, 1982

Memberships

Member, American Bar Association

Member, American Institute of Certified Public Accountants

Bar admissions and qualifications

District of Columbia

Virginia

Accolades

"Very sound judgment around the thornier issues,' is particularly highlighted by sources, who also note that 'he is a walking encyclopedia of knowledge and seems to have gone through everything before.'"

Chambers
