



## Stuart G. Stein

Chief Legal Officer and Partner  
Washington, D.C.

### Biography

Stuart Stein is the Chief Legal Officer of Hogan Lovells. In this role, Stuart is responsible for global oversight of our Office of General Counsel, including coordination of our general counsels in Washington, D.C. and London. Stuart's particular emphasis is on high-level strategic risk management, conflicts, and ethics. He also serves as lead legal advisor to both the Hogan Lovells Board and our International Management Committee.

In addition to serving as Chief Legal Officer, Stuart continues to advise selected clients on corporate matters as he has for more than 30 years. Formerly qualified as a Certified Public Accountant and the previous global co-head of the Hogan Lovells Corporate practice group, Stuart brings a rare perspective to boards and companies. As a trusted advisor to the boards of some of the largest companies in the world, he provides a calm, measured approach to achieve success during often-turbulent times. Stuart is particularly skilled in advising boards and management in developing strategies for addressing hostile and other attempts to derail corporate business plans.

Stuart is a regular speaker on national panels and programs that address corporate issues, particularly as they relate to board governance, compliance, audit committees, and fiduciary duty issues.



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### Practices

Capital Markets

Commercial

Corporate

Corporate Governance

Investigations, White Collar Crime,  
and Fraud

Mergers and Acquisitions

Securities and Public Company  
Advisory

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### Industries

Consumer

Financial Institutions

## Awards and rankings

- Financial Services Regulation: Banking (Compliance) (Nationwide), *Chambers USA*, 2007-2017
- Finance: Financial Services Regulation, *Legal 500 US*, 2011-2017
- Finance: Capital Markets: Debt Offerings, *Legal 500 US*, 2012-2016
- Financial Services Regulation: Financial Institutions M&A (Nationwide), *Chambers USA*, 2007, 2011-2016
- Financial Services Regulation: Banking (Enforcement & Investigations) (Nationwide), *Chambers USA*, 2008-2016
- Mergers, Acquisitions, and Buyouts: M&A: Large Deals (\$1bn-5bn), *Legal 500 US*, 2007, 2012-2014
- BTI Client Service All-Star, 2008-2009

## Latest thinking and events

- Published Works
  - Financial Institutions Answer Book: Law, Governance, Compliance (2019 Edition) *Practising Law Institute*
- Hogan Lovells Publications
  - New Opinion Endorses FIRREA Case Against Bank of America and Countrywide *False Claims Act Alert*
- Hogan Lovells Publications
  - Federal banking agencies release Basel III capital final rules *Financial Institutions Group Alert*
- Hogan Lovells Publications
  - M&A Practice Earns First Tier Ranking by Legal 500 *M&A Update*
- Hogan Lovells Publications
  - CFTC issues swap reporting relief and finalizes the inter-affiliate exception *SEC Update*
- Hogan Lovells Publications

Insurance

TMT

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## Areas of focus

Raising Debt Capital

High Yield

Convertible Debt Offerings

Raising Equity Capital

Initial Public Offerings

Section 16 Advice

Stock Exchange Listings and Regulatory Compliance

Securities and Financial Regulatory Advice

Proxy Solicitations, Shareholder Meetings, and Shareholder Proposals

Disclosure and Reporting Obligations

Capital Markets and Tax

Raising Equity Capital

Public Company Mergers and Acquisitions

Carve-outs, Spin-offs, and Split-offs

Hostile Takeovers and Takeover Defense

Special Committee and Independent Director Representations

Shareholder Activism

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- Federal Reserve proposes enhanced prudential standards and early remediation requirements for foreign banking organizations *Financial Institutions Group Alert*

## Education and admissions

### Education

J.D., University of Virginia School of Law, 1985

B.B.A. Accounting, The George Washington University, 1982

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### Memberships

Member, American Bar Association

Member, American Institute of Certified Public Accountants

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### Bar admissions and qualifications

District of Columbia

Virginia

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### Accolades

"Very sound judgment around the thornier issues,' is particularly highlighted by sources, who also note that 'he is a walking encyclopedia of knowledge and seems to have gone through everything before.'"

*Chambers*

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