

James G. McGovern

Partner
New York

Biography

With more than 25 years of federal and state courtroom experience, in both New York and Washington, D.C., Jim McGovern defends corporations and their executives in criminal and civil investigations brought by the Department of Justice (DOJ), Securities and Exchange Commission (SEC), UK's Serious Fraud Office, New York Attorney General's Office, New York County District Attorney's Office, and New York Department of Financial Services (NYDFS).

He conducts internal investigations for companies and counsels boards of directors concerning regulatory compliance, crisis response, and media relations. His experience includes Foreign Corrupt Practices Act, Bank Secrecy Act, financial fraud, insider trading, antitrust, sanctions, money laundering, and cybersecurity investigations.

Jim previously served as the Chief of the Criminal Division of the U.S. Attorney's Office for the Eastern District of New York, where he supervised several major bank investigations and the FIFA prosecutions; he previously supervised the EDNY's Business and Securities Fraud Section. He also served as an Assistant U.S. Attorney in Washington, D.C., and as an Assistant District Attorney in New York City. Having conducted more than 70 criminal and civil jury trials, Jim is well-equipped to represent companies and individuals



Phone

+1 212 918 3220

Fax

+1 212 918 3100

Email

james.mcgovern@hoganlovells.com

Practices

Gaming Law

Investigations, White Collar Crime,
and Fraud

Litigation

Industries

Financial Institutions

TMT

Life Sciences and Health Care

Automotive and Mobility

Areas of focus

Bribery and Corruption

in investigations involving government enforcement issues, such as criminal, civil, and regulatory compliance.

In 2015, Jim received the DOJ's Director's Award for Superior Performance by an Assistant U.S. Attorney; in 2013, he received the New York Police Department Detective's Endowment Association's "Person of the Year" and the Federal Law Enforcement Foundation's "Prosecutor of the Year" awards; and, in 2016, he received the Exceptional Service Award presented by the National Association of Former U.S. Attorneys. In 2017, Jim was selected by *The American Lawyer* for inclusion in its list of "Lateral All Stars."

Representative experience

Representing Mexican state-owned oil company in DOJ/EDNY FCPA investigation arising out of the Brazilian corruption scandals.

Representing global bank in DOJ investigation of fraud and sanctions violations committed by a multinational telecommunications company.

Representing multinational pharmaceutical company senior executive in FCPA investigation stemming from allegations of bribery and corruption in Greece.

Representing four senior executives of Chinese state-owned construction company in DOJ/EDNY FCPA investigation.

Advising privately held multinational corporation concerning criminal exposure under the FCPA for corrupt acts committed by its employees in Central America.

Appointed by the City of New York to conduct whistleblower investigation of sitting NYC Commissioner of Department of Investigation.

Representing numerous individuals in sprawling federal investigation of political corruption targeting the Mayor

Financial Services Regulatory
Investigations and Enforcement

Anti-money Laundering

Risk Management, Governance, and
Compliance

Privacy and Cybersecurity Public
Policy Counseling and Advocacy

Education and admissions

Education

J.D., The Catholic University of
America, Columbus School of Law,
1991

B.A., Siena College, 1988

Bar admissions and qualifications

New York

Court admissions

U.S. Court of Appeals, Second Circuit

U.S. District Court, Eastern District of
New York

U.S. District Court, Southern District
of New York

of New York City.

Representing New York-based university and one of its professors in DOJ investigation of possible violations of export control regulations.

Representing a safety-monitoring company in DOJ Environmental Crimes Division investigation of whistleblower claims of unsafe conditions and fraud.

Representing former owner of cryptocurrency trading platform in SEC investigation of violations of the 1934 Securities Exchange Act.

Representing several large public accounting firm partners in DOJ and SEC's investigation of theft of PCAOB's proprietary information.

Representing Singaporean company in DOJ sanctions investigation of alleged business transactions with North Korea.

Representing Korean oil company in DOJ Antitrust Division investigation of bid-rigging in dealings with the U.S. government.

Representing U.S.-based canned tuna company in price-fixing investigation conducted by the DOJ Antitrust Division.

Representing major U.S. bank in investigation conducted by Fraud Section of the DOJ concerning transactions with high-profile hedge fund manager.

Representing CEO of large New York-based financial institution in investigation conducted by NYDFS.

Qualified as an expert and testified in UK extradition proceeding regarding the DOJ's policies and procedures in misbranded pharmaceuticals case.

Representing CEO of consulting company in investigation conducted by the DOJ's Civil Division.

Representing former member of the New York State Assembly in multi-year Medicare fraud scheme.

Awards and rankings

- Dispute Resolution: Corporate Investigations and White-Collar Criminal Defense, *Legal 500 US*, 2017-2019
- Lateral All-Star, *The American Lawyer*, 2017

Latest thinking and events

- Press Releases
 - Hogan Lovells obtains acquittals of all felony charges for former New York State Assemblyman
- ■ The Southern District of New York expresses concern with government's "outsourcing" of corporate internal investigations
- Hogan Lovells Publications
 - Financial Industry Trends to Watch 10 Years After the Collapse of Lehman Brothers
- Press Releases
 - Hogan Lovells Reaches Settlement On Behalf of Cryptocurrency Client with SEC in First-of-Its-Kind Decentralized Cryptocurrency Exchange Case
- Hogan Lovells Publications
 - A Supreme Shift: Sports Gaming Decision Radically Reworks the Casino Landscape
- Sponsorships and Speaking Engagements
 - Global Anti-Corruption: Transparency in the Modern Age